10780658

ATTY DOCKET NO. APPLICATION NO. NYA FORM PTO 1449 (modified) 01311.001005.1 Div. Of 09/982,626 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE **APPLICANTS** <u>JAMES K. CAVE</u>RS <u>ET AL</u> LIST OF REFERENCES CITED BY APPLICANT(S) (Use several sheets if necessary) FILING DATE GROUP Herewith 2819 U.S. PATENT DOCUMENTS DOCUMENT NUMBER FRING DATE **EXAMINER** CATE CLASS SUBCLASS INITIAL NAME 5,610,554 3/97 Anvari 330 52 4/97 5,617,061 **Fukuchi** 330 151 5,621,354 4/97 Mitzlaff 330 52 12/97 5,694,395 Myer et al. 370 480 4/98 5,742,201 Eisenberg et al. 330 2 5,831,478 11/9B Long 330 52 5,815,036 9/98 Yoshikawa et al. 330 52 4.879.519 11/89 Myer 330 149 4,379,994 4/83 Baumann 330 149 5.862.459 1/99 Charas 455 144 5,644,268 7/97 Hang 330 151 5.760.646 6/98 Belcher et al. 330 149 FOREIGN PATENT DOCUMENTS TRANSLATION DOCUMENT OATE COUNTRY CLASS SUBCLASS YES/NO! NUMBER OR ABSTRACT EP 0675594 10/95 **EPO** OTHER DOCUMENT(S) (Including Author, Title, Date, Perlinent Pages, Etc.) S. Grant, "A DSP Controlled Adaptive Feedforward Amplifier Linearizer," July, 1996. S. Grant and J. Cavers, "A DSP Controlled Adaptive Feedforward Amplifier Linearizer," ICUPC 1996. A. Smith, "A Wideband Adaptive Feedforward Amplifier Lineariser," August 1997. A Smith and J. Cavers, "A Wideband Architecture For Adaptive Feedforward Linearization," May 18, 1998. DATE CONSIDERED

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

10180658

APPLICATION NO. ATTY DOCKET NO. FORM PTO 1449 (modified) 01311.001005.1 Div. of 09/982,626 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE APPLICANT JAMES K. CAVERS ET AL. LIST OF REFERENCES CITED BY APPLICANT(S) (Use several sheets if necessary) GROUP 2819 Herewith U.S. PATENT DOCUMENTS EXAMINER DOCUMENT FILING DATE CLASS SUBCLASS INITIAL NUMBER DATE NAME 5,307,022 4/94 Tattersall, Jr. et al. 330 52 5.532,642 7/96 Takai 330 15 5,789,976 8/98 Ghannouchi et al. 330 52 5,565,814 10/96 **Fukuchi** 330 52 5,485,120 1/96 Anvari 330 151 5,489,875 2/96 Cavers 330 151 6.208,207 3/01 Cavers 330 149 6,166,601 12/00 Shalom et al. 330 151 5,157,345 10/92 Kennington et al. 330 149 5,130,633 7/92 Tattersall, Jr. 330 52 5.867.065 2/99 Levendecker 330 149 FOREIGN PATENT DOCUMENTS TRANSLATION DOCUMENT NUMBER DATE COUNTRY CLASS SUBCLASS YES/NO! OR ABSTRACT 58 175309 10/14/83 Japan OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.) F. Amoroso, "Spectral Containment By PreDistortion of OQPSK Signal," October, 1998. J. Cavers, "Adaption Behavior of a Feedforward Amplifier Linearizer," February, 1995. Q. Cheng, et al., "A 1.9 GHZ Adaptive Feedforward Power Amplifier, November, 1998. J.C. Lagarias, et al. Convergence Properties of the Nedler-Mead Simplex Algorithm in Low Dimensions, SAIM J. Optim. May, 1997 P.B. Kennington and D.W. Bennett, Linear Distortion Correction using Feed-forward System, IEEE Trasnactions on Vehicular Technology Vol 45 No 1 (Feb. 1996) J. Chen, et al., Adaptive joint lineraisation / equilisation with delay alignments for a wideband power amplifier, March, 1998 05 EXAMINER DATE CONSIDERED

\*EXAMINER: Initial if reference considered, whether or not/citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO 1449 (modified)  U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE  LIST OF REFERENCES CITED BY APPLICANT(S)			ATTY DOCKET NO. 01311.001005.1	APPLICATION NO. DIV. of 09/982,626				
			APPLICANTS JAMES K. CAVERS ET AL.					
(Use several sheets if necessary)				FILING DATE Herewith	GROUP 2819			
				U.S. PATENT DOCUMENTS	<del></del>	,		
"EXAMINER INITIAL	DOCUMENT NUMBER	DATE		NAME	CLASS	SUBCLASS	FU	
M	5,898,339	4/99		Maruyama et al.	330	151		
	6,075,411	6/00		Briffa et al.	330	149		
W	6,414,546	7/02		Cavers	330	149		
		OTHER DOCL	MENT(	5) (Including Author, Tide, Oate, Pertinent Pages, Etc.)				
NA	J.T. Chen, H.S. Tsai and Y.K. Chen, Fast Adaptive Wide-band Power Amplifier Feed-forward Linearizer, IEEE Vehicular Technology conference, Ottawa, (1998)							
	J.K. Cavers, Convergence Behavior of an Adaptive Feed-forward Linear Technology Conference, (1994).						ehici	
	F.T. Luk and S. Qiao, Analysis of a Recursive Least-squares Signal Processing Algorithm, Society for Industrial and Applied Mathematics, Vol 10, No. 3, (May 1989)							
	S. Ljung and L. Ljung, Error Propagation Properties of Recursive Least-squares Adaptation Algorithms, Automatica, Vol. 21, No. 2 (1985)							
	E. Eweda and O. Macchi, Convergence of the RLS and LMS Adaptive Filters, IEEE Transactions on Circuits and Systems, Vol. CAS-34, No. 7, (July 1987)							
	D.H. Shi and F. Kozin, On Almost Sure Convergence of Adaptive Algorithms, IEEE Transactions on Automatic Control, Vol. AC-31, No. 5, (May 1986)							
	L.L. Horowitz and K.D. Seene, Performance Advantage of Complex LMS for Controlling Narrow-band Adaptive Arrays, IEEE Transactions on Acoustics, Speech, and Signal Processing, Vol. ASSP-29, No. 3, (June 1981)							
	G.A. Clark, S.K. Mitra, and S.R. parker, Block Implementation of Adaptive Digital Filters, IE Transactions on Acoustics, Speech, and Signal Processing, Vol. ASSP-29, No. 3, (June 19)							
	A. Feuer, Performance Analysis of the Block Least Mean Square Algorithm, IEEE Transac on Circuits and Systems, Vol. CAS-32, No. 9, (July 1985)							
	S.S. Narayan, A.M. Peterson, M.J. Narasimha, Transform Domain LMS Algorithm, IEEE Transactions on Acoustics, Speech, and Signal Processing, Vol. ASSP-31, No. 3, (June 1)							
	Realization of	FIR Adapti	ve Di	.K. Mitra, A Unified Approach to Time gital Filters, IEEE Transactions on A . 5, (October 1983)				
N/A	G. Panda, B. I	Viulgrew, C.	F.N.	Cowan, and P.M. Grant, A Self-Ortho lons on Acoustics, Speech, and Sigr				
<u> </u>	34, Ng. 6, (Dec		6)					

10780658

FORM PTO 146	9 (modified) U.S. DEPARTMENT OF COM	WEBCE	ATTY DOCKET NO. 01311.001005.1		APPLICATION NO. Div. of 09/982,826								
ust	PATENT AND TRADEMARK OF REFERENCES CITED BY	OFFICE APPLICANT(S)	APPLICANTS JAMES K. CAVERS ET AL.	1111001110									
	(Use several sheets if nece	scary)	FILING DATE Herewith										
U.S. PATENT DOCUMENTS													
*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	S SUBCLASS	FILING DATE FAPPROPRIATE							
m	5,912,586	6/99	James Edward Mitzlaff	330	149								
	5,923,214	7/99	James E. Mitzlaff	330	52	·							
m	6,456,160 B1	9/02	Nakayama et al.	330	52								
					<u>  </u>								
	OTHER DOCUMENT(S) (Including Author, Title, Data, Perlinent Pages, Etc.)												
N/A	J.Chao, H. Perez, and S. Tsujii, A Fast Adaptive Filter Algorithm Using Eigenvalue Reciprocals as Stepsizes, IEEE Transactions on Acoustics, Speech, and Signal Processing, Vol. ASSP-38, No. 8, (August 1990)												
		S.J. Elliot and B. Fafaely, Rapid Frequency-Domain Adaptation of Causal FIR Filters, IEEE Signal Processing Letters, Vol. 4, No.12, (December 1997)											
		R.M. Gray, On the Asymptotic Eigenvalue Distribution of Toeplitz Matrices, IEEE Transactions on Information Theory, Vol. IT-18, No.6, (November 1972)											
		M. Johansson and L. Sundstrom, Linearization of RF Mulitcarrier Amplifiers using Cartesian Feedback, Electronic Letters, Vol. 30, No. 14, (July 7, 1994)											
N/A	wide-band dist	Hau et al. "Design and characterization of a microwave fee-forward amplifier with improved wide-band distortion cancellation" IEEE Transactions on Microwave Theory and Techniques, vol. 49, Issue 1, January 2001, pages 200-203.											
					<del></del>								
				<del>-</del>									
			<u> </u>										
EXAMINER	khary	w.	DATE CONSIDERED 6/13	8/07									

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Sheet\_4\_ of \_4\_